## Lecture 9

**Theorem 2.22** (Jordan decomposition). Let  $\psi$  be a self-adjoint linear functional on A. Then  $\psi = \varphi_+ - \varphi_-$ , where  $\varphi_+$  and  $\varphi_-$  are positive linear functionals on A and  $\|\psi\| = \|\varphi_+\| + \|\varphi_-\|$ .

*Proof.* Denote by K the set of all self-adjoint linear functionals of norm  $\leq 1$ , i.e.,  $K \subset (A^*)_{sa}$ . Then K is a \*-weak closed subset of the unit ball and hence it is \*-weak compact. Define an  $\mathbb{R}$ -linear map

$$\theta: A_{sa} \to C(K, \mathbb{R}), \qquad \theta(a)(\tau) = \tau(a),$$

so, if  $a \in A$ ,  $a \ge 0$ , then  $\theta(a) \ge 0$  in K. By Lemma 2.16 the mapping  $\theta$  is an isometry onto its image.

There is a natural isometry  $\tau \mapsto \tau'$  of real spaces  $(A^*)_{sa}$  and  $(A_{sa})^*_{\mathbb{R}}$  (real functionals) (see Problem46). By the Hahn-Banach theorem there is a functional  $\rho \in (C(K,\mathbb{R}))^*_{\mathbb{R}}$ such that  $\rho \circ \theta = \psi'$  and  $\|\rho\| = \|\psi'\|$  (an extension of a functional from the closed subspace  $\theta(A_{sa})$ ). Then by the Jordan theorem for measures (as it is explained above before the formulation) there are positive functionals  $\rho_+$  and  $\rho_-$  such that  $\rho = \rho_+ - \rho_$ and  $\|\rho\| = \|\rho_+\| + \|\rho_-\|$ . Consider  $\varphi'_+ := \rho_+ \circ \theta$  and  $\varphi'_- := \rho_- \circ \theta$ . These are functionals from  $(A_{sa})^*_{\mathbb{R}}$ . Let  $\varphi_+$  and  $\varphi_-$  correspond to them under the identification with  $(A^*)_{sa}$ . Evidently they satisfy all the conditions, except maybe the norm property. Let us verify it:

$$\|\psi\| = \|\psi'\| = \|\rho\| = \|\rho_+\| + \|\rho_-\| \ge \|\varphi'_+\| + \|\varphi'_-\| = \|\varphi_+\| + \|\varphi_-\| \ge \|\psi\|.$$

# 2.6 Linear topological spaces

**Definition 2.23.** A subset M of a linear space is called *balanced*, if for any  $v \in M$  the vector  $\lambda v$  belongs to M for any  $|\lambda| \leq 1$ . In particular, M is a star set relative to the zero of space.

**Definition 2.24.** A subset M of a linear space is called *absorbing*, if for any vector v of the space there is a number  $\alpha > 0$  such that  $v \in \beta M$  for  $|\beta| \ge \alpha$ .

**Definition 2.25.** A linear space equipped with a topology is called *linear topological* space (LTS), if the operations of linear space are continuous.

In the basic course of functional analysis, the following simple statements are proved: (see [8, Chapter III, §5]):

**Proposition 2.26.** 1). A base of LTS consists of shifts of neighborhoods of zero.

2). Any vector of LTS and a closed set not containing it have disjoint neighborhoods.

**Definition 2.27.** An LTS *L* satisfies the *homothety condition*, if for any neighborhood of zero *W* its homothety  $\lambda W$  is also a neighborhood of zero for any  $\lambda \neq 0$  from the main field.

**Remark 2.28.** Obviously, the topology of a normed space satisfies the homothety condition.

**Proposition 2.29.** For any neighborhood of zero U of an LTS L with the homothety condition, there is a balanced neighborhood contained in it.

Proof. Consider the continuous mapping  $\mathbb{C} \times L \to L$  (multiplication) mapping  $(0, 0_L) \mapsto 0_L$ . Then, by virtue of continuity, there are  $\delta > 0$  and a neighborhood of zero W such that  $\lambda W \subseteq U$  for  $|\lambda| \leq \delta$  (a non-strict inequality can be achieved by reducing  $\delta$  from the standard definition). Let  $W' := \bigcup_{0 < |\lambda| \leq 1} \lambda W$ . By virtue of 2.27, this W' is what we are looking for.

**Remark 2.30.** In fact, it can be proven that the base of neighborhoods of zero of an LTS L can be chosen from absorbing balanced sets, and also that the homothety condition is in fact not a condition, but we will not need this (see [9, Chapter II, §4]).

We will need the following important result.

**Theorem 2.31.** Let L be a finite-dimensional space, dim L = n. Then any Hausdorff topology  $\tau$  making L a linear topological space  $L_{\tau}$  with the homothety condition coincides with the topology of the Euclidean norm  $||v||^2 = \sum_{i=1}^{n} |v^i|^2$ , where  $e_1, \ldots, e_n$  is some base of L, and  $v = v^1 e_1 + \cdots + v^n e_n$ .

*Proof.* The space L with Euclidean (or unitary) topology will be denoted by  $L_u$ , and neighborhoods of zero of two topologies ( $\tau$  and Euclidean) will be denoted by T and U, respectively.

Consider an arbitrary T. Then there is a neighborhood  $T_0$  such that  $T_0 + \cdots + T_0 \subset T$ (*n* terms) due to the continuity of the addition operation. For every k there is  $\varepsilon_k > 0$  such that  $v^k e_k \in T_0$  for  $|v_k| < \varepsilon_k$  ( $k = 1, \ldots, n$ ). Let  $\varepsilon := \min_k \varepsilon_k$ , and  $U := \{v \in L \mid ||v|| < \varepsilon\}$ . Then  $v^k e_k \in T_0$  for any  $v \in U$  and any  $k = 1, \ldots, n$ . Thus,  $U \subset T$ . From what has been proved, in particular, it follows that the identity mapping  $\iota : L_u \to L_\tau$  is continuous.

Conversely, let U be an arbitrary neighborhood, we can assume that  $U = B(0, \varepsilon)$  is an open ball of radius  $\varepsilon$  with boundary (sphere) S, which is a compact set. Then  $S = \iota(S)$  is compact in  $L_{\tau}$ . This means that it is closed, since the topology is Hausdorff. Then there is a stellar neighborhood of zero T (for example, balanced) that does not intersect S by virtue of propositions 2.26 and 2.29. Moreover,  $T \subseteq U$ , since otherwise there exists a vector  $v \in T$  such that  $||v|| \ge \varepsilon$ , and if we put  $\alpha := \varepsilon/||v||$ ,  $w := \alpha v$ , then  $\alpha \le 1$ , so  $w \in T$  by the star property. But  $||w|| = \varepsilon$ , so  $w \in T \cap S = \emptyset$ . A contradiction.

## 2.7 Finite-dimensional C\*-algebras

Consider the \*-weak topology on A defined by the seminorm system  $a \mapsto |\varphi(a)|$  for all linear functionals  $\varphi$ . From Lemma 2.20 and Theorem 2.22 it follows that the same topology can be obtained by using only seminorms, defined by states.

Note also that the corresponding LTS has the homothety property 2.27.

#### 2.7. FINITE-DIMENSIONAL C\*-ALGEBRAS

### **Lemma 2.32.** A finite-dimensional $C^*$ -algebra is always unital.

*Proof.* If A is finite-dimensional, then the topology of the norm coincides with the \*-weak topology according to Theorem 2.31. Let  $u_n$  be an approximate unit of the algebra A. Then for any state  $\varphi$  the sequence  $\varphi(u_n)$  is non-decreasing and bounded. Therefore  $u_n$  converges in \*-weak topology, and therefore in norm. Thus, there is a limit  $\lim_n u_n = a$ . Then ax = xa = x for any  $x \in A$ , so a = 1.

**Lemma 2.33.** Let  $I \subset A$  be an ideal in a finite-dimensional  $C^*$ -algebra A. Then I = Ap for some central projector (=idempotent from the center) p.

*Proof.* Since I is finite-dimensional, it is unital by Lemma 2.32. Let  $p \in I$  be the unit of I. Then for every  $x \in A$ , one has  $xp \in I$ , so p(xp) = xp. Hence  $px^*p = x^*p$  for any  $x \in A$ , whence xp = pxp = px and p belongs to the center of A. Obviously,  $p^2 = p$ .  $\Box$ 

**Lemma 2.34.** A simple finite-dimensional  $C^*$ -algebra A is isometrically \*-isomorphic to the matrix algebra  $M_n$  for some n.

*Proof.* First of all, note that  $aAb \neq 0$  for any non-zero  $a, b \in A$ . Indeed, AaA is a non-zero ideal (since A is unital and  $0 \neq a = 1 \cdot a \cdot 1 \in A$ ), so by simplicity, AaA = A. Therefore  $1 = \sum_{i} x_i a y_i$  and  $b = \sum_{i} x_i a y_i b$ . Hence, if ayb = 0 for any  $y \in A$ , then  $b = \sum_{i} x_i (ay_i b) = 0$ . This contradicts the assumption.

Let *B* be some maximal commutative subalgebra of *A*. Then it can be identified with  $C(X) = \mathbb{C}^n = \mathbb{C} \cdot e_1 \oplus \ldots \oplus \mathbb{C} \cdot e_n$  for some *n*, where *X* consists of *n* points, and  $e_i \in B$  denotes the element corresponding to the characteristic functions at point *i*. Here  $e_i$  are projections with the relations  $e_i e_j = 0$  for  $i \neq j$  and  $\sum_{i=1}^n e_i = 1$ . Since  $e_i A e_i \cdot e_j = e_j \cdot e_i A e_i = 0$  and *B* is maximal, then  $e_i A e_i \subset B$ . Therefore  $e_i A e_i = \mathbb{C} \cdot e_i$ (since, obviously,  $0 \neq e_i A e_i \ni e_i$ , or you can use the statement from the beginning of the proof).

For any i, j there is  $x = x_{ij} \in A$  such that  $x = e_i x e_j \neq 0$ , ||x|| = 1. Indeed, by virtue of the statement from the beginning of the proof,  $e_i A e_j \neq 0$ , so we have  $x = e_i y e_j$  with ||x|| = 1. In this case  $e_i x e_j = e_i e_i y e_j e_j = e_i y e_j = x$ . Then  $x^* x = e_j x^* e_i e_i x e_j \in e_j A e_j$ , and therefore, according to what has been proven, this element has the form  $\alpha e_j, \alpha \in \mathbb{C}$ . Since  $x^* x$  is a positive element with norm equal to one, then  $\alpha = 1$ , so  $x^* x = e_j$ . Likewise,  $xx^* = e_i$ . Let us denote such  $x = x_{ij}$  for j = 1 by  $u_i$ , so that  $u_i = e_i x e_1 = e_i u_i e_1$ . Then  $u_i^* u_i = e_1$ ,  $u_i u_i^* = e_i, i = 1, \ldots, n$ . Let us set  $u_{ij} := u_i u_j^*$ . In this case,  $u_i e_1 u_i^* = u_i u_i^* u_i u_i^* = e_i e_i e_i e_i$ , So  $u_{ij} u_{ji} = u_i u_j^* u_j u_i^* = u_i e_1 u_i^* = e_i$ . Also  $e_j u_{ji} = u_j u_j^* u_j u_i^* = u_j e_1 u_i^* = u_j u_i^* u_i u_i^* = u_{ji} e_i$ , and  $e_i u_{ij} = u_i u_i^* u_i u_i^* = u_i e_1 u_i^* = u_i u_i^* u_j u_j^*$ .

If  $x \in e_i A e_j$ , that is,  $x = e_i a e_j$ , then  $x u_{ji} = e_i a e_j u_{ji} = e_i a u_{ji} e_i \in e_i A e_i$ , so  $x u_{ji} = \lambda e_i$ for some  $\lambda \in \mathbb{C}$ . Then  $x = x e_j = x u_{ji} u_{ij} = \lambda e_i u_{ij} = \lambda u_{ij}$ , so for any  $x \in A$  there is a number  $\lambda_{ij}(x) \in \mathbb{C}$  such that  $e_i x e_j = \lambda_{ij}(x) u_{ij}$ . Thus,  $x = \sum_{i,j} e_i x e_j = \sum_{ij} \lambda_{ij}(x) u_{ij}$ . The correspondence  $x \mapsto (\lambda_{ij}(x))$  defines an isomorphism  $\kappa : A \to M_n$  (Problem 47).  $\Box$ 

**Problem 47.** Check the bijectivity and necessary algebraic properties of  $\kappa$ .

**Theorem 2.35.** If A is finite-dimensional, then  $A = \bigoplus_k Ap_k$ , where  $p_k$  are central projections, and each  $Ap_k$  is a matrix algebra  $M_{n(k)}$ . Proof. For a simple algebra, the result follows from Lemma 2.34. If A is not simple, then I = Ap by Lemma 2.33, where p is a central projection. Then  $A = I \oplus J$ , where J := A(1-p). Then J is also an ideal, since (1-p) is also a central projection, so  $A(1-p)A = AA(1-p) \subseteq A(1-p)$ . In this case, the center of A, being a finitedimensional commutative algebra, is isomorphic to  $\mathbb{C}^m$  (functions on finite set), and characteristic functions correspond to the projections. Next, we argue by induction, reducing the dimension, until we arrive to the sum of simple algebras.